

04-23-03

CPA/1600

CONTINUED PROSECUTION APPLICATION (CPA)

REQUEST TRANSMITTAL (Large Entity)

Submit an original, and a duplicate for processing.

Only for Continuation or Divisional Applications Under 37 CFR 1.53(d)

Docket No.

13198

☐ DUPLICATE

(Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

Nicholas Hayward, et al.

Gerald G. Leffers, Jr.

1636

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/424,458 filed on March 16, 2000 and entitled:

THREE NOVEL GENES ENCODING A ZINC FINGER PROTEIN, A GUANINE, NUCLEOTIDE EXCHANGE FACTOR AND A HEAT SHOCK PROTEIN OR HEAT SHOCK BINDING PROTEIN

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

04/24/2003 RHEBRAHT 00000066 09424458

01 FC:1006
02 FC:1201
03 FC:1202

750.00 OP
756.00 OP
72.00 OP

- a. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ An Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations
6. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	24	- 20 =	4	x \$18.00	\$72.00
Indep. Claims	12	- 3 =	9	x \$84.00	\$756.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
TOTAL FILING FEE					\$1,578.00

RECEIVED

TECH CENTER 1600/2500

APR 25 2003

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 19-1013/SSMP

- ☐ fees required under 37 C.F.R. 1.16.
- ☐ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☒ A check in the amount of \$1,578.00 is enclosed.

9. ☒ Also enclosed:

Copy of Petition for (three-month) Extension of Time

10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the Applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: April 22, 2003



Signature

Leopold Presser

Typed or printed name

19,827

Registration Number (if applicable)

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Nicholas Hayward, et al.**

Docket No.

13198

Serial No.

09/424,458

Filing Date

March 16, 2000

Examiner

Gerald G. Leffers, Jr.

Group Art Unit

1636

Invention: **THREE NOVEL GENES ENCODING A ZINC FINGER PROTEIN, A GUANINE, NUCLEOTIDE EXCHANGE FACTOR AND A HEAT SHOCK PROTEIN OR HEAT SHOCK BINDING PROTEIN**

I hereby certify that this **Continued Prosecution Application (CPA)***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on **April 22, 2003***(Date)***Janet Grossman***(Typed or Printed Name of Person Mailing Correspondence)*

A handwritten signature in cursive script that reads "Janet Grossman".

*(Signature of Person Mailing Correspondence)***EV244417691***("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

RECEIVED
APR 25 2003
TECH CENTER 1600/2900